Stroke Unit Toolkit

Acute Integrated Rehabilitation
Table of Contents

• Introduction ....................................................................................................................... 2

• Section 1: Getting Buy-In ............................................................................................... 3

• Section 2: Preparation & Planning .................................................................................. 4
  1. Build your Implementation Team .................................................................................. 4
  2. Decide on Type of Stroke Unit ...................................................................................... 5
  3. Decide on a Location of Stroke Unit ............................................................................... 6
  4. a) Acute Stroke Unit Bed Formula .................................................................................. 7
     b) Stroke Rehab Unit Bed Formula .................................................................................. 7
  5. Staffing Requirements ..................................................................................................... 8
  6. Budget Considerations ..................................................................................................... 8

• Section 3: Key Components of the Implementation ......................................................... 10
  1. Assessment of Current State ......................................................................................... 10
  2. Stroke Care Pathways ..................................................................................................... 10
  3. Education/Training ......................................................................................................... 11
  4. Day to Day Operations ..................................................................................................... 11
  5. Patient Trajectory ........................................................................................................... 13

• Section 4: Key Elements of the Stroke Unit ...................................................................... 15
  1. Process of Care ............................................................................................................... 15
  2. Validated Tools ............................................................................................................... 15
  3. Orientation ...................................................................................................................... 18
  4. Stroke Expertise .............................................................................................................. 20

• Section 5: Evaluation ........................................................................................................ 21
  1. Case Study ...................................................................................................................... 22
  2. Recommendations for Stroke Unit Quality Indicators ..................................................... 24
  3. Data Sources ................................................................................................................... 25
  4. Quality-Based Procedures for Stroke .............................................................................. 26
  5. Ontario Stroke Evaluation Report .................................................................................... 27
  6. Importance of Sharing Data with your Team .................................................................... 27

• References ......................................................................................................................... 28

• Glossary ............................................................................................................................ 30

• Appendices ....................................................................................................................... 31
  A. Sample Budget Plan ......................................................................................................... 31
  B. Stroke Unit Admission Criteria ...................................................................................... 33
  C. Flow Algorithm ............................................................................................................... 34
  D. Rounds Template ............................................................................................................ 35
  E. LHIN-Specific, Ontario Stroke Report Card .................................................................... 36
  F. Recommended Stroke Unit Quality Indicators .................................................................. 37
  G. Sample Dashboards ......................................................................................................... 52
  H. Supplemental Indicators & Evaluation Information .......................................................... 54

❖ As of April 1st, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario
Introduction

The Stroke Unit Tool Kit

The aim of this toolkit is to provide health care organizations looking to implement a stroke unit informed by information and knowledge gained from hospitals with existing units and aligned with the Canadian Stroke Best Practice Recommendations. It is hoped that the tool kit will contribute to the development of new units, or improvements to existing units.

The toolkit provides an overview to help guide implementation. It offers practical advice, presents pros and cons of a range of options for service delivery, suggests how various institutions may support improvements in service delivery, and identifies key resources to work with.

In the summer/fall of 2015, a survey was conducted across the province with organizations that met the Ontario Stroke Network (OSN) stroke unit definition. A total of 28 organizations with varying sizes of acute (ASU), integrated (ISU) and rehabilitation (RSU) units responded. The purpose of the survey was to gather actual experience of establishing stroke units. The survey results identified strategies which are shared throughout this toolkit.

The toolkit will enable organizations to identify problems or challenges, and develop a strategy using information and other resources assembled for this purpose.

For further questions or to contact existing stroke units, please refer to the contact list.

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Section 1: Getting Buy-in

Get the Statistics

Understanding the current environment is key and can be determined by collecting the following statistics in order to engage senior leadership team and secure buy-in:

a. Total stroke admissions/year at your site
b. A breakdown of the stroke subtypes (ischemic, hemorrhagic, TIA)
c. Average LOS on acute and/or rehabilitation for each stroke type
d. Discharge disposition
e. The number of stroke patients in your organization at any one time
f. Location of patients admitted with stroke/TIA

This information will help determine the type, size and location of the stroke unit to be implemented. Section 2 will assist with some of the preparatory work that can help build a case for the stroke unit. The Ontario Stroke Report Cards compares LHIN performance against a provincial benchmark. It can be helpful to compare the organization performance against the LHIN or Provincial Benchmark to identify the need for change. Using data gathered so far, present to the senior team, physician groups and the LHIN the needs and benefits to implementing a stroke unit.

The provincial survey of 28 established stroke units, were asked how they obtained buy-in to develop their stroke unit. Here are the top responses for the following topics.

1) Top 3 drivers to secure buy-in for implementing their stroke unit:
   a. Stroke Quality-Based Procedures (QBP)
   b. Media attention
   c. Canadian Stroke Best Practice Recommendations (CSBPR)

2) Information to inform current state were:
   a. Length of stay (LOS)
   b. Mortality
   c. Discharge disposition

3) Common themes identified included:
   a. Having stroke unit implementation as a priority of the Regional Stroke Steering
   b. Committee Strategic Plan
   c. Engaging key informants and stakeholders such as local stroke champions, senior leadership, management, front line staff, and patient/caregiver representation
   d. Utilizing metrics as drivers with a focus on mortality, complications or readmission rates

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Section 2: Preparation and Planning

This section will assist with decisions regarding the type of stroke unit, numbers of beds and location.

2) Build Your Implementation Team

Engage existing stroke champions: senior management, physicians, nurses, allied health, Regional Stroke Network team, patients and families, and other key stakeholders/departments. A physician champion is critical in the planning. In regional stroke centres the physician lead is often a stroke neurologist. In other centres the champion may be a neurologist, internist or hospitalist with an interest in stroke. On a rehabilitation unit the stroke physician champion is often a physiatrist.

TIP: Learn from others

Invite representatives from an organization that has a stroke unit to come and share their learnings to your team.
Choose a hospital that has similar admission numbers to your site; refer to contact list of existing stroke units in the province. Invite your champions, as well as those you are looking to get buy-in from.
Request the presenting site to demonstrate their positive performance outcomes, as well as patient/family testimony.

A key component of developing a stroke unit is ensuring that there is fulsome representation within the core implementation team. The provincial survey of 28 established stroke units revealed trends in the membership of implementation teams. The provincial survey asked, “Who were the members of the core team in the implementation phase of your stroke unit?” The most common responses to the question included:

<table>
<thead>
<tr>
<th>Team Member – Ranking Order</th>
</tr>
</thead>
<tbody>
<tr>
<td>Front line staff</td>
</tr>
<tr>
<td>Management</td>
</tr>
<tr>
<td>Senior leadership (director/VP)</td>
</tr>
<tr>
<td>Physician leadership</td>
</tr>
</tbody>
</table>

Survey respondents also identified other essential team members for the core implementation team.

<table>
<thead>
<tr>
<th>Team Member – Ranking Order</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regional and District Team</td>
</tr>
<tr>
<td>Educators/Clinical Nurse Specialists</td>
</tr>
<tr>
<td>Internal Systems Providers</td>
</tr>
<tr>
<td>Patient Flow/Bed Allocation / Navigator</td>
</tr>
</tbody>
</table>

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
In addition to the common team members, there were numerous recommendations of other members to be considered, including: Other hospital clinical departments, external partners (e.g. CCAC), professional practice, patients and families, communications department, and OSN leaders. Patient and caregiver involvement is important and should participate in the planning, implementation and evaluation stages. Involvement may include committee and working group meetings, focus groups, and satisfaction surveys but should be determined with the patient and caregiver.

In addition to the identified implementation team members, respondents also identified other essential stakeholders that were consulted and/or required for stroke unit implementation.

<table>
<thead>
<tr>
<th>Stakeholder Consulted</th>
</tr>
</thead>
<tbody>
<tr>
<td>Finance</td>
</tr>
<tr>
<td>Health records</td>
</tr>
<tr>
<td>Informatics / IT</td>
</tr>
<tr>
<td>Communications</td>
</tr>
<tr>
<td>Social worker / discharge planning</td>
</tr>
<tr>
<td>Professional practice</td>
</tr>
<tr>
<td>Interprofessional nursing</td>
</tr>
<tr>
<td>Unions</td>
</tr>
<tr>
<td>Hospital foundation / volunteers</td>
</tr>
<tr>
<td>Other community partners</td>
</tr>
</tbody>
</table>

2. **Decide on type of stroke unit**

Based on information gathered the next step is to begin looking at the model of stroke unit that best fits the organization. The definitions of the stroke unit models described below have been endorsed by the OSN.

a. **Acute Stroke Unit**

“A geographical unit with identifiable 1 co-located beds occupied by stroke patients on average 75% of the time* and has a dedicated interprofessional team with expertise in stroke care with the following professionals at a minimum nursing, physiotherapy, occupational therapy, speech language pathologist (OSN, 2014).”

b. **Integrated Stroke Unit**

Both acute and rehabilitation components meet the above ASU definition: “Acute and rehabilitation beds are on a geographical unit with identifiable 2 co-located beds that are occupied by stroke patients 75% of the time*, and have a dedicated interprofessional team with expertise in stroke care including at a minimum, nursing, PT, OT and SLP (OSN, 2014).”

*As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
c. **Stroke Rehabilitation Unit**

Based on the ASU definition: “A geographical unit with identifiable¹ co-located² rehabilitation beds that are occupied by stroke patients 75% of the time³, and has a dedicated interprofessional team with expertise in stroke care including at a minimum, nursing, PT, OT and SLP (OSN, 2014).”

Of the 28 respondents to the provincial survey, 9 identified having an ISU. The survey asked those sites why they decided on that type of model. The most common answers were as follows:

i. To create a seamless flow from acute to rehabilitation
ii. Space availability

3. **Decide on a location for your stroke unit**

There are multiple factors that need to be taken into consideration when deciding on a location for the stroke unit.

a. **Acute Stroke Unit**

The size of the unit (the number of beds allocated to stroke) will determine if it can be a standalone unit or joined with another unit. The size of the unit impacts the ability to independently staff a unit.

b. **Integrated Stroke Unit**

As with the ASU, you need to decide whether the unit will be a standalone or joined with another unit. Key considerations for an ISU, is that the organization has allocated acute and rehabilitation beds that can be merged with access and proximity to therapy gyms, quiet areas or rooms for SLP or OT treatments.

---

¹ e.g. 5A-7, 5A-8, 5A-9, 5A-10, 5A-11
² co-location is the act of placing multiple entities within a single location
³ e.g. if the stroke unit is a 4 bed unit, 3 out of those 4 beds must have a stroke patient in them on average 75% of the time.
c. **Stroke Rehabilitation Unit**

The size of the unit (the number of beds allocated to stroke) will determine if it can be a standalone unit or joined with another unit. The size of the unit impacts the ability to independently staff a unit.

The provincial survey asked all 28 sites that participated “what influenced the physical location of their stroke unit?” The most common answers were as follows:

i. Preferred close proximity of stroke unit to the therapy gym
ii. Availability of cardiac monitoring
iii. Close to rehabilitation unit

---

4. **Acute Stroke Unit Bed Formula:**

To determine the number of beds required, a formula has been developed which considers total volumes, average length of stay (ALOS) and occupancy rate. The occupancy rate utilized by organizations in bed calculation may vary. Occupancy rates frequently referenced when doing bed calculations are 95% or 98% based on the Ontario 2000 average acute occupancy rates of 96% (Ontario Hospital Association, 2000 [http://www.oha.com/Documents/OHA%20Position%20Statement%20on%20Funding%20and%20Capacity%20Planning%20for%20Ontario’s%20Health%20System%20and%20Hospitals.pdf](http://www.oha.com/Documents/OHA%20Position%20Statement%20on%20Funding%20and%20Capacity%20Planning%20for%20Ontario’s%20Health%20System%20and%20Hospitals.pdf)).

To determine the number of beds required, consult your decision support team.

\[
\text{total stroke volume} \times \text{ALOS} = 594 \times 10 = 17 \text{ beds} \times \% \text{ occupancy} \quad 365 \times 0.95
\]

b. **Stroke Rehabilitation Unit Bed Formula:**

To determine the total stroke rehabilitation volumes per year, identify the number of acute stroke patients discharged alive and multiply by the Ontario stroke report card best practice target of 45.4%*. The Stroke Quality-based Procedure Clinical Handbook has identified inpatient rehabilitation LOS targets for each stroke rehabilitation patient group (RPG)*. To determine the average inpatient rehabilitation LOS, average the stroke QBP RPG LOS targets to calculate the average RPG LOS at a set occupancy rate divided by 365 days (Health Quality Ontario and Ministry of Health and Long-Term Care, 2015).

---

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
5. **Staffing Requirements**

An understanding of the staffing requirements for your stroke unit will be critical to secure buy-in from the senior executive team. Recommended Inpatient Rehabilitation Staff Requirements (Health Quality Ontario and Ministry of Health and Long-Term Care, 2015, [http://www.hqontario.ca/Portals/0/Documents/evidence/clinical- handbooks/community-stroke-20151802-en.pdf](http://www.hqontario.ca/Portals/0/Documents/evidence/clinical-handbooks/community-stroke-20151802-en.pdf)):

- Physiotherapy/Occupational Therapy: 1 each per 6 inpatient rehabilitation beds
- Speech-language pathology: 1 per 12 inpatient rehabilitation beds

The provincial survey of centres in Ontario whose stroke units closely meet the OSN stroke unit definition demonstrated the following staffing patterns for nursing.

<table>
<thead>
<tr>
<th>Facility Type</th>
<th>Type of Unit</th>
<th>n</th>
<th>RN to Patient Ratio Day Shift</th>
<th>RN to Patient Ratio Night Shift</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regional Stroke Centre (RSC)</td>
<td>ISU</td>
<td>1</td>
<td>1:4</td>
<td>1:6 patients</td>
</tr>
<tr>
<td>RSC</td>
<td>ASU</td>
<td>6</td>
<td>1:4 to 5 patients</td>
<td>1:5 to 6 patients (1 RSC: 1:6 to 7)</td>
</tr>
<tr>
<td>District Stroke Centre (DSC)</td>
<td>ISU</td>
<td>4</td>
<td>1:5 to 6 patients</td>
<td>1:6 to 7 patients</td>
</tr>
<tr>
<td>DSC</td>
<td>ASU</td>
<td>5</td>
<td>1:4 to 5 patients</td>
<td>1:5 to 6 patients (1 DSC: 1:8)</td>
</tr>
<tr>
<td>Community Hospital</td>
<td>ASU</td>
<td>2</td>
<td>1:4 to 5 patients</td>
<td>1:5 to 7</td>
</tr>
<tr>
<td>Community Hospital</td>
<td>ISU</td>
<td>2</td>
<td>1:4 to 5 patients</td>
<td>For rehabilitation portion of ISU: 1:8 to 10</td>
</tr>
</tbody>
</table>

6. **Budget Considerations**

When developing a budget for the stroke unit, it is imperative to work closely with the finance department. It is important to consider initial start-up costs of the unit and the annual operating costs. Below are some examples of set up costs and areas to be incorporated into an annual operating budget.

a) Startup costs:

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
b) Annual Operating Costs:

- Human resource costs:
  - Staffing ratios, nursing skill mix
  - Allied health relief costs
  - New and ongoing staff stroke orientation and training
  - Pharmacy
  - Medical-surgical supplies/supplies and expenses
  - AlphaFIM® certification
  - Additional workshops/courses and conference registration

(See Appendix A for sample Budget Plans).

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Section 3: Key Components of the Implementation

The implementation team will be key to the success of the implementation of the stroke unit. The following outlines key components to stroke unit implementation the team will need to consider in planning.

1) Assessment of Current State

How does stroke care currently occur?
What areas of stroke best practices are done well and where are the gaps?

a) Use the QBP template

Review the QBP Clinical Handbook for Stroke, as well as the hyper acute, acute and rehabilitation stroke best practices (www.strokebestpractices.ca) to assist the interprofessional team in identifying gaps in knowledge and best practice stroke care.

b) Process mapping

If the organization has access to the expertise of process improvement consultants/quality specialists, they can assist the team in process mapping. This will help the group come to a common understanding of the current process (current state process map). Later they can engage the team in a future state process mapping (future state process map).

2) Stroke Care Pathway

The planning committee will need to discuss how to implement care pathways, order sets and protocols to guide standardization of best practice stroke care within your organization.

In rehabilitation, care pathways are not common as each stroke patient should have an individualized stroke rehabilitation plan. The CSBPR, Section 5.2.2i, states:

“The interprofessional rehabilitation team should assess patients within 48 hours of admission and develop a comprehensive individualized rehabilitation plan which reflects the severity of the stroke and the needs and goals of the patient [Evidence Level C].

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
3) Education/Training

What training currently takes place for stroke care? Is stroke education accounted for in the orientation of new staff to the stroke unit? What are the plans for ongoing stroke education? Assessing the current state will also help to identify knowledge gaps. (See Orientation in Section 4 of the toolkit for more information).

4) Day-to-Day Operations

a) Admission to an Acute Stroke Unit

How will stroke patients be identified for admission to your stroke unit? Developing admission criteria, i.e., types of stroke patients who will be admitted to the stroke unit (hemorrhagic and/or ischemic). (See Appendix B for sample Stroke Unit Admission Criteria).

Consider the organization’s bed management policy.

- Does it support timely admission to the stroke unit beds?
- Are the stroke unit beds protected and exclusive for stroke?

What is the surge plan if there are more stroke admissions than beds available on the stroke unit?

- Are there sufficient beds to manage patients requiring isolation?
- How will patients requiring telemetry or Step-Down Unit level care be managed? What will be the process to prioritize admission to the unit, for patients coming from other units or organizations? (See Appendix C for sample of Flow Algorithm).

b) Admission to a Rehabilitation Stroke Unit

- What is the process for referral and application to stroke rehabilitation?
  o Does this process allow timely admission to rehabilitation?
  o What is the feedback mechanism to acute care (e.g. if the patient is refused from rehabilitation)?
- The Resource Matching and Referral (RM&R) form is the provincial standard application form for all rehabilitation and complex continuing care.
  o Who is responsible for reviewing the application?
  o Will the stroke rehabilitation program allow patients to be admitted 7 days per week, as QBP recommends (module 4.1.2)?

The CSBPR for Rehabilitation outlines general eligibility and admission criteria for stroke rehabilitation. Has the organization considered these in its stroke rehabilitation unit admission criteria?

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Will the stroke rehabilitation unit staff have the required education and confidence to receive stroke patients who are medically stable but medically complex (e.g. patients with an NG tube, IV medications)?

c) Team Communication:

- How will the team receive and share information it needs in order to care for the stroke patient e.g., discuss results of screening and assessment tools, patient and/caregiver issues, discharge planning.
- What communication processes currently exist? What is working well?
- What time of day should the team meet? How frequently?

d) Process for rounds (daily bullet rounds; weekly or biweekly rounds)

The implementation team will need to discuss establishing a process for rounding in order to ensure the interprofessional team, patients and families have the necessary information needed to care for stroke patients. The target LOS for ischemic stroke in acute stroke units is 5 days and for hemorrhagic strokes 7 days. This necessitates that team members are efficient with sharing of information in order to provide comprehensive stroke care and facilitate patient transition to the next phase of stroke care.

The CSBPR: Acute Inpatient Stroke Care recommends: daily/bi-weekly patient care rounds and interprofessional stroke teams conduct case reviews, discuss patient management issues, family concerns or needs, and discharge planning (discharge or transition to the next step in care, timing, and transition requirements).

In stroke rehabilitation units it is also recommended that: “stroke unit teams conduct at least one formal interprofessional meeting per week to discuss the progress and problems, rehabilitation goals, and discharge arrangements for patients on the unit [Evidence Level B]. Individualized rehabilitation plans should be regularly updated based on review of patient status [Evidence Level C], (Hebert, Teasell et al., 2015).”

In addition to determining the type of rounds: the implementation team will also need to discuss:

- Who will be present?
- What information will be communicated?
- What time of day (or day of the week) will rounds take place?
- What is the length of time allotted for the rounds?

Consider developing a “Cheat-sheet” to keep rounds focused especially if the type of rounds is a new process, as it helps team members to stay on track and focused with the “Rounds” agenda. (See Appendix D for sample Rounds Template).

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
e) **Discharge Planning:**

Discharge planning commences when the patient arrives on the unit.
- What will be the process for discharge planning? Alpha Fim should be implemented and utilized.
- Is there a triage process/tool for referrals to community/inpatient rehabilitation?
- Are there stroke education resources for discharge teaching?

Inpatient stroke rehabilitation patient group (RPG) LOS targets have been set. In order to determine the RPG into which the patient is classified, the FIM assessment must be completed. (Health Quality Ontario and Ministry of Health and Long-Term Care, 2015, [link](http://www.hqontario.ca/Portals/0/Documents/evidence/clinical-handbooks/community-stroke-20151802-en.pdf))
- What is the unit’s plan to complete the FIM assessment on or by day 3 after admission (target day 3, admission is day 1) admission?
- Who will determine the RPG and set the discharge date according to RPG LOS targets?
- How and when will the discharge date be communicated to the patient?

5) **Patient Trajectory**

A key component to ensuring timely access to the stroke unit is to consider possible patient transitions to the unit. It is important to be familiar with the best practice transition recommendations for acute and rehabilitation stroke units.


a) **Acute Stroke Unit:**

Patients admitted to hospital with an acute stroke or TIA should be treated on an [inpatient stroke unit](http://www.strokebestpractices.ca/index.php/transitions/) (Evidence level A) as soon as possible; ideally within 6 hours of hospital arrival (Evidence level C), (Casaubon and Boulanger, 2015).

Patients admitted to the acute stroke unit may experience very different journeys. Some of the common transitions include:
- Patients receiving tPA/endovascular thrombectomy: Where will the patient be cared for in the first 24 hours after receiving tPA in the Emergency Department (Intensive Care Unit/Step-Down Unit/Acute Stroke Unit)? What is the process to move patients to the acute or integrated stroke unit after the first 24 hours?
- Patients repatriated post tPA/procedure to the referring centre Patients not receiving tPA
- Inpatients who have a stroke as a secondary complication on another unit
- Patients transferred from another facility

---

*As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.*
The planning committee will need to determine discharge locations for patients to ensure timely patient flow across the system. Questions for consideration:

- How will the SU team support timely discharge home with services and community-based stroke rehabilitation services?
- What are the general inclusion criteria for stroke rehabilitation?
- What is the process for discharging patients who require long term care services or complex continuing care services?
- Clear streamlined processes will ensure timely flow across the continuum and ensure timely access to acute stroke services.

If the acute stroke unit organization refers patients to a standalone stroke rehabilitation unit for rehabilitation, the planning committee will need to be familiar with the general inclusion criteria. It will be critical that the committee has a clear understanding and endorsement of the definition for medical stability. See Eligibility and Admission Criteria for Stroke Rehabilitation in [CSBPR](http://www.hqontario.ca/Portals/0/Documents/evidence/clinical-handbooks/community-stroke-20151802-en.pdf) for Stroke Care.

b) Stroke Rehabilitation Unit or Integrated Stroke Unit:

All patients who require inpatient rehabilitation following stroke should be treated on a specialized stroke rehabilitation unit (Evidence Level A) that is geographically defined, (Health Quality Ontario and Ministry of Health and Long-Term Care, 2015, [http://www.hqontario.ca/Portals/0/Documents/evidence/clinical-handbooks/community-stroke-20151802-en.pdf](http://www.hqontario.ca/Portals/0/Documents/evidence/clinical-handbooks/community-stroke-20151802-en.pdf)).

i. Integrated Stroke Unit

- If post-acute rehabilitation will be provided on the integrated stroke unit the planning committee will need to discuss and determine processes for eligibility and for transferring care between acute and rehabilitation physicians.

ii. Standalone Rehabilitation facilities

- How will the acute stroke team work with the rehabilitation stroke team to create a “pulling” of patients into stroke rehabilitation, in order to achieve acute LOS targets of day 5 for ischemic stroke and 7 days for hemorrhagic stroke

iii. Other considerations:

- Do both the acute stroke team and rehabilitation stroke team consider themselves as one team or an extended team?
- Do both teams have opportunities to review patient cases together?
- Do both teams have an opportunity to meet to discuss where improvements in the transition process from acute to rehabilitation can be made?
- Is the process for referral to rehabilitation efficient and timely?

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
• Is there agreement between both teams on a common rehabilitation referral/ triage tool?
• What are the general inclusion criteria for stroke rehabilitation for the facility?
• Can information technology (IT) create/provide electronic tools to support communication between teams, patients and families?
• Do the acute and rehabilitation teams need to meet to come to a common understanding of medical stability for transfer?

Section 4: Key Elements of the Stroke Unit

This section of the toolkit highlights key elements that are critical to the stroke unit’s ongoing success. An example of one of these key elements is processes of care, which can include policies, pre-printed orders and care pathways. The CSBPR places strong emphasis on development and sustainability of stroke expertise. To reflect these recommendations, this section of the toolkit also discusses validated assessment tools, staffing, orientation and recommendations for ongoing professional education for all disciplines involved in caring for patients on stroke units.

1) Processes of Care

Processes of care are key to facilitate uptake of stroke best practices and the operation of a stroke unit. These processes are an important mechanism to enable rapid transfer of a patient to a specialized stroke unit as soon as possible after arrival in an acute hospital or rehabilitation setting. Processes of care include policies, pre-printed orders, and clinical or care pathways.

A sample of pre-printed orders is available in the CSBPRs.

Along with this resource, connecting with an organization on the contact list is recommended for examples of processes of care. Order sets are available for ASU, RSU and ISUs.

2) Validated Tools

Appropriate assessment with validated tools of patients with stroke admitted to a stroke unit is essential. “Comprehensive assessment of patients with stroke is necessary for the appropriate clinical management and evaluation of outcomes, for quality management and research,” (Duncan et al, 2005). In this article the Agency for Health Care Policy and Research (AHCPR), “recommends the use of well-validated, standardized instruments in evaluating stroke patients. These instruments help to ensure reliable documentation of the patient’s neurological conditions, level of disability, functional independence, family support, quality of life, and progress over time.”

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
The CSBPR (www.strokebestpractices.ca) state that “clinicians use standardized, valid assessment tools to evaluate the patient’s stroke related impairments and functional status.” The CSBPR have developed a resource to help guide the use of appropriate standardized and validated assessment tools in stroke care.

**Canadian Stroke Best Practice Recommendations Validated Assessment Tools**

<table>
<thead>
<tr>
<th>Category</th>
<th>Validated Tool</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tools to Assess Functional Capacity and Activities of Daily Living</td>
<td>Functional Independence Measure (FIM)</td>
<td>Assessment tool for physical and cognitive disability and is intended to measure burden of care.</td>
</tr>
<tr>
<td></td>
<td>AlphaFIM®</td>
<td>Shortened version of the Functional Independence Measure</td>
</tr>
<tr>
<td></td>
<td>Modified Ranking Scale (mRS)</td>
<td>Assessment tool for rating global outcomes</td>
</tr>
<tr>
<td></td>
<td>Barthel Index of Activities of Daily Living (BI)</td>
<td>Assessment tool for evaluating independence in self-care activities</td>
</tr>
<tr>
<td></td>
<td>Frenchay Activities Index (FAI)</td>
<td>Assessment tool for instrumental activities of daily living</td>
</tr>
<tr>
<td></td>
<td>6-Minute Walk Test (6MWT)</td>
<td>Assessment tool for walking capacity and endurance</td>
</tr>
<tr>
<td>Tools to Assess Stroke Severity</td>
<td>Canadian Neurological Scale (CNS)</td>
<td>Assessment tool for neurological impairment</td>
</tr>
<tr>
<td></td>
<td>National Institute of Health Stroke Scale (NIHSS)</td>
<td>Assessment tool for neurological status following a stroke</td>
</tr>
<tr>
<td></td>
<td>Orptoning Prognostic Scale (OPS)</td>
<td>Assessment tool for stroke severity and has been found to be beneficial in identifying a patient’s suitability for rehabilitation.</td>
</tr>
</tbody>
</table>

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Tools to Assess Motor Function</th>
<th>Fugl-Meyer Assessment of Motor Recovery after Stroke (FMA)</th>
<th>Assessment tool for motor functioning following a stroke</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Rivermead Motor Assessment (RMA)</td>
<td>Assessment tool for motor performance</td>
</tr>
<tr>
<td>*Category</td>
<td>Stroke Rehabilitation Assessment of Movement (STREAM)</td>
<td>Assessment tool for motor functioning following a stroke</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Tools to Assess Mobility</th>
<th>Berg Balance Scale (BBS)</th>
<th>Assessment tool for balance in older adults</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Chedoke-McMaster Stroke Assessment Scale (CMSA)</td>
<td>Screening and assessment tool for physical impairment and disability</td>
</tr>
<tr>
<td></td>
<td>Clinical Outcome Variables (COVS)</td>
<td>Assessment tool for functional mobility</td>
</tr>
<tr>
<td></td>
<td>Functional Ambulation Categories (FAC)</td>
<td>Assessment tool for rating ambulation status</td>
</tr>
<tr>
<td></td>
<td>Rivermead Mobility Index (RMI)</td>
<td>Assessment tool for functional mobility</td>
</tr>
<tr>
<td></td>
<td>Timed “Up and Go” Test (TUG)</td>
<td>Screening tool for basic mobility and balance</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Tools to Assess the Upper Extremity</th>
<th>Action Research Arm Test (ARAT)</th>
<th>Assessment tool for upper extremity function and dexterity</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Box and Block Test (BBT)</td>
<td>Assessment tool for unilateral gross manual dexterity</td>
</tr>
<tr>
<td></td>
<td>Chedoke Arm and Hand Activity Inventory (CAHAII)</td>
<td>Assessment tool for arm and hand function</td>
</tr>
<tr>
<td></td>
<td>Nine Hold Peg Test (NHPT)</td>
<td>Assessment tool for fine manual dexterity</td>
</tr>
<tr>
<td></td>
<td>Wolf Motor Function Test (WMFT)</td>
<td>Assessment tool for upper extremity motor ability</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Tools to Assess Mood and Cognition</th>
<th>Beck Depression Inventory (BDI)</th>
<th>Screening tool for depression and, if present, provides cut points for severity</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Geriatric Depression Scale (GDS)</td>
<td>Screening tool for depression and, if present, provides cut points for severity</td>
</tr>
</tbody>
</table>

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
| Hospital Anxiety and Depression Scale (HADS) | Screening tool for anxiety and depression and, if present, provides cut points for severity |
| General Health Questionnaire (GHQ) | Screening tool for psychiatric disorders |
| Mini-Mental State Examination (MMSE) | Screening tool for cognitive impairment |
| Montreal Cognitive Assessment (MoCA) | Screening tool for cognitive impairment |
| Clock Drawing Test (CDT) | Screening tool for cognitive impairment |

| Tools to Assess Visual Perception and Neglect |
| Behavioral Inattention Test (BIT) | Screening and assessment tool for visual neglect |
| Line Bisection Test (LBT) | Screening tool for unilateral spatial neglect |
| Motor-free Visual Perception Test (MVPT) | Assessment tool for visual perception |

| Tools to Assess Specific Impairments |
| Modified Ashworth Scale (MAS) | Assessment tool for spasticity |
| Frenchay Aphasia Screening Test | Screening tool for aphasia |

*Adapted from “Stroke Rehabilitation Screening and Assessment Tools” in Canadian Best Practice Recommendations for Stroke Care 2013, and Update December 2015.

For more information regarding standardized and validated assessment tools to be used on a stroke unit, please refer to the following resources:
Assessment tools used in stroke care can be found at [www.strokengine.ca](http://www.strokengine.ca).
Tools to screen and assess swallowing can be found at:

### 3) Orientation

To implement and promote stroke expertise for staff on a stroke unit, it is necessary to establish a program of ongoing interprofessional education involved in caring for patients on stroke units. It is recommended to establish a Stroke Unit Orientation for new staff. The model and delivery of orientation is dependent on the resources available at each site, as well as the structure of the stroke unit (i.e. educator or champion designated unit versus the availability of Regional Stroke Network staff).

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Ensuring stroke best practices are incorporated into new staff orientation is essential and a variety of methods can be used:

- Combination of in-class, self-study and online resources is recommended.
- Shadowing and partnering with a senior staff on the stroke unit.

The format of the orientation is at the discretion of the stroke unit, however a full day of education or unit orientation is recommended. Stroke unit orientation is typically provided on an 'as needed' basis, however providing monthly, quarterly or annually orientation is also suggested to support ongoing learning.

The orientation should be provided to all members of the interprofessional team according to the stroke unit staffing model which may include nurses, allied health providers and Personal Support Workers (PSW) /Patient Care Assistants (PCA’s).

Ongoing education should be provided by the stroke unit Clinical Educator and or designated interprofessional champions.

---

**Sample Resources**

- Acute Unit Orientation (Stroke Network of Southwestern Ontario)  
  [http://swostroke.ca/acute-stroke-unit-orientation](http://swostroke.ca/acute-stroke-unit-orientation)

- Stroke Rehabilitation Unit Orientation (Stroke Network of Southwestern Ontario)  


---

*As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.*
TIP:

Healthcare professionals working in stroke are encouraged to view discipline-specific core competencies needed for evidence-based stroke care.

Core competencies are available for the following disciplines: Nursing, Occupational Therapy, Physical Therapy, Speech Language Pathology, Social Work, and Recreation Therapy at:

http://ontariostokenetwork.ca/provincial-interprofessional-stroke-core-competency-framework

4) Stroke Expertise

“Stroke expertise is critical in achieving good outcomes and the more experience treating stroke patients, the better; with the goal of staff spending 80-100% of their time caring for stroke patients,” (Matthew Meyer, personal communication, 2015).

The CSBPR state the interprofessional stroke unit team should have stroke expertise. This section describes the OSN’s Core Competency framework to ensure stroke expertise. There is also an emphasis on continuing education, due to the emergence of new evidence that results in changes to best practices in stroke care. The Ministry of Health and Long Term Care, QBP for Stroke Care recommend the core stroke unit team should consist of health care professionals with stroke expertise in medicine, nursing, occupational therapy, physiotherapy, speech language pathology, social work, and clinical nutrition. To support development of stroke expertise, health care professionals on the core stroke unit team should be individuals who spend the vast majority of their time treating stroke patients and regularly complete education about stroke care, (Health Quality Ontario and Ministry of Health and Long-Term Care, 2015).

Staff should be trained, in a timely manner. Each stroke unit should establish processes to facilitate development of stroke expertise in alignment with the OSN core competencies. Examples of effective resources include attending conferences, workshops, refresher sessions and shadowing senior staff and champions.

The core team/champions/clinical leads may be considered as resources to provide opportunities to enhance staff expertise on the unit. It is recommended to develop a process for stroke unit staff to participate in regional, provincial, national, and international education opportunities in the care and management of stroke patients; encourage networking with interprofessional stroke teams in other facilities, regions and nationally. This will facilitate knowledge sharing, problem solving, collaboration, and increase the consistency of stroke care delivery across sites and nationally.

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Connecting with your Regional Stroke Education Coordinator regarding the availability of online and local resources is recommended. Resource information is also available at [http://ontariostrokenetwork.ca/professional-stroke-education-inventory](http://ontariostrokenetwork.ca/professional-stroke-education-inventory)

Core Competencies: The Ontario Regional Education Group (OREG) and the OSN have developed a set of stroke care competencies, minimum core set of knowledge and skills, that any professional providing stroke care should already possess or are working towards acquiring.

**Section 5: Evaluation**

The ability to measure and monitor performance, process, as well as patient and system outcomes in stroke care is critical to improving the delivery of healthcare. There are a number of existing sources of data and recommended indicators for measuring stroke unit care which are commonly used in Ontario:

2. Local Health Integration Network Stroke Report Cards - released annually in June (Appendix E)
3. OSN Evaluation Report – a focused report is released annually

This section of the Toolkit uses the above resources and also incorporates responses from the provincial survey, administered to Ontario’s stroke units in 2015. A case study is used to introduce common stroke unit evaluation indicators and is followed by a summary table of indicators recommended for stroke unit care. The appendices provide comprehensive information about the indicators recommended (Appendix F), examples of evaluation dashboards (Appendix G) and supplemental reading for more information on stroke indicators (Appendix H).

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
**Stroke Unit Evaluation Case Study**

**DAY 1:** Camille is a 58 year old woman who experienced stroke symptoms on June 12th, 2015 at 15:15. She arrived at the Regional Stroke Center by ambulance at 16:00. A CT scan ruled out a hemorrhagic stroke and she received IV tPA at 16:54. Camille was closely monitored in the Critical Care Unit. Camille remained NPO until a validated swallowing screen was completed and found to be normal.

**DAY 2:** After 24 hours from stroke onset, Camille’s repeat CT with CTA revealed damage in her right middle cerebral artery territory. On June 13th she was transferred to the hospital’s 6-bed stroke unit, a geographically co-located unit with identifiable beds, occupied by stroke patients at least 75% of the time. She was cared for by the acute stroke unit’s dedicated interprofessional team (IPT). On the stroke unit she continued to be monitored. An oral care protocol and falls reduction plan were put in place to prevent complications.

**DAY 3:** On June 14th, Camille was assessed by the interprofessional rehabilitation professionals of the acute stroke unit team, experts in stroke care who spend the majority of their time working with stroke patients. Together with the rest of the stroke unit team, they formulated a management plan with her and her family. The AlphaFIM® assessment was completed. She scored 50, placing her in the moderate stroke category, suggesting the post-acute discharge destination should be an inpatient stroke rehabilitation unit. The referral process to inpatient stroke rehabilitation was initiated. Camille and her family received ongoing stroke education on the stroke unit to support smooth transition to rehabilitation and eventually back home.

**Days 4-5:** Over the next two days, the interprofessional acute care team cared for Camille while she recovered and began to regain motor function. Before transfer to inpatient rehabilitation, the social worker with the stroke unit team administered a depression screen which was negative. The occupational therapist conducted a cognitive screen which suggested mild cognitive impairment.

**Day 6:** Camille was discharged from the acute stroke unit and transferred to the stroke rehabilitation unit on June 17th, one day longer than the recommended LOS for patients with an ischemic stroke.

**DAY 7-32:** Camille was assessed within 24-48 hours by the interprofessional rehabilitation team with stroke expertise. Her FIM (Functional Independence Measure) assessment resulted in a score of 62. Soon after admission, an individualized rehabilitation plan was created and Camille received an average of 145 minutes of direct, face-to-face therapy from the core therapists, 6 days per week. By the end of her stay, she had made gains in her function which was demonstrated by improvements in her FIM score to 86.

*Note: Quality-Based Procedures (QBP) recommended length of stay is 5 days for ischemic stroke and 7 days for intracerebral hemorrhage.*

**FIM Efficiency Calculation: Discharge**

\[
\text{FIM Efficiency} = \frac{\text{Discharge FIM} - \text{Admission FIM}}{\text{Length of Stay}}
\]

Based on her Rehabilitation Patient Group (RPG) assignment of 1130, the QBP recommended LOS was 25.2 days. At discharge, the FIM Efficiency was calculated at 0.96.

**Stroke Unit Indicators**

- Dysphagia Screening
- Stroke Unit Admission
- Complication Rate
- Interprofessional Team Assessment
- AlphaFIM® Completed Day 3
- Patient & Caregiver Education
- Depression Screening
- Cognitive Screening
- Acute-Care LOS
- Acute Discharge Destination
- Rehabilitation Intensity
- Inpatient Rehabilitation LOS by RPG
- FIM Efficiency
- Rehabilitation Discharge Destination

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
DAY 32: On July 13th, after 25 days on the inpatient rehabilitation unit, Camille was discharged home with the support of her husband. A referral for outpatient stroke rehabilitation was made to follow up on residual deficits from her stroke.

SIX MONTHS LATER: Camille remains in her community, and is enjoying participating in a stroke survivor support group and community stroke exercise class at her local fitness centre.

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Recommendations for Stroke Unit Quality Indicators</th>
<th>Stroke Unit Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>*Proportion of patients treated in a designated Stroke Unit anytime during their inpatient stay</td>
<td>ASU</td>
</tr>
<tr>
<td>Indicators Specific to the Acute Phase of Stroke Care</td>
<td>X</td>
</tr>
<tr>
<td>*Proportion of patients with Dysphagia Screening in an acute care setting</td>
<td>X</td>
</tr>
<tr>
<td>Proportion of patients who have AlphaFIM® completed on or by day 3 after admission (target day 3, admission is day 1).</td>
<td>X</td>
</tr>
<tr>
<td>Proportion of patients discharged from hospital with Antithrombotic Therapy</td>
<td>X</td>
</tr>
<tr>
<td>*Proportion of inpatients that experience at least one Complication</td>
<td>X</td>
</tr>
<tr>
<td>Indicators Specific to the Rehabilitation Phase of Stroke Care</td>
<td></td>
</tr>
<tr>
<td>Proportion of patients admitted to Inpatient Rehabilitation with severe stroke from acute care setting</td>
<td>X</td>
</tr>
<tr>
<td>*Mean total Rehabilitation Intensity minutes per day per stroke patient in a rehabilitation setting</td>
<td>X</td>
</tr>
<tr>
<td>*Median FIM Efficiency for all stroke (RCG 1)</td>
<td>X</td>
</tr>
<tr>
<td>Indicators for Stroke Unit Care (Acute, Integrated, and Rehabilitation)</td>
<td>X</td>
</tr>
<tr>
<td>Proportion of patients with documentation of Patient and Caregiver Education</td>
<td>X</td>
</tr>
<tr>
<td>Proportion of patients with documentation of Depression Screening</td>
<td>X</td>
</tr>
<tr>
<td>Proportion of patients with documentation of Vascular Cognitive Impairment Screening (VCI)</td>
<td>X</td>
</tr>
<tr>
<td>Patient/Family/Experience &amp; Staff Satisfaction</td>
<td>X</td>
</tr>
<tr>
<td>Indicators Related to LOS and Discharge Disposition</td>
<td></td>
</tr>
<tr>
<td>*Median LOS in an acute care hospital setting (Total, Acute, and ALC LOS)</td>
<td>X</td>
</tr>
<tr>
<td>Median time from stroke onset to admission to Inpatient Rehabilitation</td>
<td>X</td>
</tr>
<tr>
<td>*Proportion of patients Admitted to Inpatient Rehabilitation from acute care setting</td>
<td>X</td>
</tr>
<tr>
<td>Proportion of patients discharged to each Discharge Disposition (specific to the setting)</td>
<td>X</td>
</tr>
<tr>
<td>*Median LOS in an inpatient rehabilitation setting by Rehabilitation Patient Group (RPG)</td>
<td>X</td>
</tr>
<tr>
<td>System-Level Indicators</td>
<td></td>
</tr>
<tr>
<td>In-hospital Mortality Rate (30-day all cause)</td>
<td>X</td>
</tr>
<tr>
<td>Readmission Rate (30 &amp; 90-Day all cause)</td>
<td>X</td>
</tr>
</tbody>
</table>

* Denotes indicators commonly used by stroke units (acute, integrated, or rehabilitation) in Ontario, as reported in the Provincial survey, 2015.

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
1) **Data Sources**

a. Canadian Institute for Health Information (CIHI)

i. Discharge Abstract Database (DAD)

Discharge Abstract Database captures administrative, clinical and demographic information on hospital discharges (including deaths, sign-outs and transfers). Data is received directly from acute care facilities or from their respective health/regional authority or ministry/department of health. Facilities in all provinces and territories except Quebec are required to report. (CIHI, 2016a).

ii. National Rehabilitation Reporting System (NRS)

The National Rehabilitation Reporting System (NRS) collects data from participating adult inpatient rehabilitation facilities and programs across Canada. The minimum data set contains clinical data on functional status. Facilities collect client data on admission and discharge from the inpatient rehabilitation program. They send the data directly to CIHI on a quarterly basis so it can be included in online comparative reports. (CIHI, 2016b)

iii. Special Projects

At times, “special projects” are implemented in the DAD and NRS, where extra data fields are added to supplement the data already being collected. Stroke has a number of special projects, which are explained briefly below:

- **Project 340** – Since 2008/09 data about stroke and TIA care have been collected under this special project (stroke symptom onset date and time, CT scan/MRI scan within 24 hours of hospital arrival, stroke unit admission, administration of tPA, prescription of antithrombotics at discharge).
- **Project 640** – Captures additional data on the type of care provided to stroke patients (dysphagia screening, telestroke consultation, date of stroke unit admission, date of stroke unit discharge, triage date and time).
- **Project 740** – On April 1, 2014 the data fields in Project 740 became mandatory to complete for all acute stroke admissions in Ontario. Project 740 captures information about the AlphaFIM® assessment: whether it was completed, date completed, motor rating, cognitive rating.

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
### CIHI Stroke Case Definitions

<table>
<thead>
<tr>
<th>Main Category</th>
<th>Diagnostic Code</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>TIA</td>
<td>G45 (excludes G45.4)</td>
<td></td>
</tr>
<tr>
<td>Ischemic Stroke</td>
<td>I63 (excludes I63.6), I64, H34.0, H34.1</td>
<td></td>
</tr>
<tr>
<td>Intracerebral Hemorrhagic Stroke</td>
<td>I61</td>
<td></td>
</tr>
<tr>
<td>Subarachnoid Hemorrhagic Stroke</td>
<td>I60</td>
<td>160 codes <strong>excluded</strong> in QBP and in some Accreditation Canada Stroke Distinction elements</td>
</tr>
</tbody>
</table>

### iv. Chart Audit

In order to augment the data collected under the Canadian Institute for Health Information (CIHI) Special Projects and to aid with quality improvement initiatives, manual chart audits can be conducted at your hospital. Chart audits can be completed in a timely manner (avoiding the time lag common with data reported to CIHI) and allow the stroke unit to focus on the specific information related to the quality improvement topic or initiative at hand.

### 2) Quality-Based Procedures: Stroke

**The Quality-Based Procedures: Clinical Handbook for Stroke, February 2015**

Sets out key recommended practices for stroke unit care in acute care and in rehabilitation. The [handbook](#) is available online.

Ten quality indicators recommended by the Stroke QBP Clinical Expert Advisory Group were selected to evaluate the intended and unintended impact of QBP implementation. An additional measure (risk-adjusted all-cause 30-day readmission rate) was recommended by the MoHLTC.

a. Proportion of ischemic stroke patients arriving at an ED within 3.5 hours of symptom onset who received acute thrombolytic therapy (tPA)

b. Proportion of patients who received brain imaging (CT scan or MRI) within 24 hours of arrival at an ED
c. Proportion of patients treated on a designated inpatient stroke unit
d. Distribution of severity among inpatient rehabilitation patients
e. Discharge destinations following acute inpatient admission
f. Acute and alternative level of care (ALC) lengths of stay
g. Proportion of patients admitted to inpatient rehabilitation within 7 days of acute care admission
h. Risk-adjusted 90-day all-cause unplanned visit rate to ED
i. Risk-adjusted 30-day all-cause mortality rate

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
j. Risk-adjusted 30-day all-cause readmission rate (Note this is a MoHLTC recommended measure, not a quality indicator)
k. Risk-adjusted 90-day all-cause readmission Rate (MoHLTC, 2015).

Hospital administrators will have access to regularly published baseline reports from the MOHLTC that summarize LHIN- and hospital-specific indicator results. The report also provides technical and contextual information about the indicators.

3) **Ontario Stroke Evaluation Report**


The [Ontario Stroke Evaluation Report](#) documents progress made by the OSN in the provision of best practice stroke care through a number of core performance indicators. The report includes recommendations to continue improving stroke care across Ontario. Current and past reports are available online.

[Report cards](#) evaluate the quality of stroke care delivered in each of Ontario's 14 Local Health Integration Networks and are available annually in June (See Appendix E for LHIN-specific Ontario Stroke Report Card). “The report cards serve as a valuable stakeholder tool that allows for consistent planning across the Ontario Stroke System, and the implementation of quality-based procedures” (Hall et al., 2014, p1).

4) **The Importance of Sharing Data with Your Team**

Regular review of stroke unit data and sharing of results with staff, patients, families, and other stakeholders is an important part of improving quality of care delivered. Open and ongoing communication between management and front-line interprofessional staff and teams regarding performance is vital and can be achieved by sharing data, educating staff on the meaning of data, and seeking input for decision-making and changing processes. The process of sharing performance results varies between organizations and a variety of communication methods have been used:

- share results with opportunity for discussion at staff/team meetings or huddles
- posting results on a bulletin board on the stroke unit
- at committee and/or group meetings such as Quality Rounds, Stroke Sustainability Committee
- electronic communication such as email

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
References

Accreditation Canada, Stroke Distinction webpage. Retrieved from
https://accreditation.ca/stroke-distinction

http://wso.sagepub.com/content/11/2/239.full.pdf+html.


CIHI Website (2016): Background on the national rehabilitation reporting system. Retrieved from


As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardic, vascular and stroke care in Ontario.


As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Glossary

**ALC:** Alternate Level of Care  
**ASU:** Acute Stroke Unit  
**CIHI:** Canadian Institute for Health Information  
**CT:** computed tomography  
**CTA:** computed tomography angiography  
**DAD:** Discharge Abstract Database  
**ISU:** Integrated Stroke Unit  
**LOS:** Length of Stay  
**MoCA:** Montreal Cognitive Assessment  
**NPO:** nil per os  
**OSN:** Ontario Stroke Network  
**QBP:** Quality-Base Procedures  
**RCG:** Rehabilitation Client Group  
**RPDB:** Registered Persons Database  
**RSU:** Rehab Stroke Unit

---

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Appendix A: Sample Budget Plan 1 for 28 Bed Stroke Rehabilitation Unit
Adapted from Hamilton Health Sciences Stroke Rehabilitation Unit Annual Budget

<table>
<thead>
<tr>
<th>Budget Item</th>
<th>Total Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenue</strong></td>
<td></td>
</tr>
<tr>
<td>Preferred Accommodation, External Recoveries</td>
<td>$263,380</td>
</tr>
<tr>
<td>Total Revenue</td>
<td>$263,380</td>
</tr>
<tr>
<td><strong>Expenses</strong></td>
<td></td>
</tr>
<tr>
<td>Compensation and Wages:</td>
<td></td>
</tr>
<tr>
<td>Includes salary, overtime costs, 3.6% Sick Rate, Vacation for FT Staff based on years of service, STAT holidays, Education, Training and Orientation costs, Relief Hours for Allied Health Staff and other benefits</td>
<td>$3,087,701</td>
</tr>
<tr>
<td>Job Category</td>
<td>Total Budget Hours</td>
</tr>
<tr>
<td>Business Clerk</td>
<td>2,270</td>
</tr>
<tr>
<td>Social Worker</td>
<td>3,519</td>
</tr>
<tr>
<td>Therapeutic Recreationist</td>
<td>1,369</td>
</tr>
<tr>
<td>Registered Dietitian</td>
<td>704</td>
</tr>
<tr>
<td>Speech Language Pathologist</td>
<td>3,324</td>
</tr>
<tr>
<td>Psychometrist</td>
<td>1,173</td>
</tr>
<tr>
<td>Pharmacist</td>
<td>1,564</td>
</tr>
<tr>
<td>Psychologist</td>
<td>978</td>
</tr>
<tr>
<td>Communication Disorders Assistant</td>
<td>1,173</td>
</tr>
<tr>
<td>Dietetic Assistant</td>
<td>1,600</td>
</tr>
<tr>
<td>Occupational Therapist</td>
<td>5,321</td>
</tr>
<tr>
<td>Physiotherapist</td>
<td>5,664</td>
</tr>
<tr>
<td>Registered Nurse</td>
<td>21,139</td>
</tr>
<tr>
<td>Registered Practical Nurse</td>
<td>33,274</td>
</tr>
<tr>
<td>Pharmacy Technician</td>
<td>977</td>
</tr>
<tr>
<td>OT/PT Assistant</td>
<td>4,692</td>
</tr>
<tr>
<td>Respiratory Therapist</td>
<td>1,173</td>
</tr>
<tr>
<td><strong>Drugs: Non Medicated IV’s, Supplies – Medical Gases</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Medical/Surgical Supplies: Instruments, Sutures, General Medical/Surgical Supplies, Catheters, Needles, Syringes, Gloves, Rubber Goods</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Other Supplies and Expenses:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Interdepartmental Expenses: Internal Laundry Processing, Interdepartmental Services, Printing</strong></td>
<td></td>
</tr>
<tr>
<td>Total Expenses</td>
<td></td>
</tr>
</tbody>
</table>

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
**Sample Budget Plan 2**
Adapted from Hamilton Health Sciences Stroke Rehabilitation Unit Annual Budget

<table>
<thead>
<tr>
<th>Item</th>
<th>Total One Time Costs</th>
<th>Annual Operating Costs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equipment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 wheel rollator walkers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lap trays</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Patient Bedside Chairs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shower Chairs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shower Commode Chairs, Bariatric commode</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wheelchairs, bariatric Wheelchair, Tilt Wheelchair</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Additional Beds, Alarm beds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Telemetry</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ceiling Lifts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Isolation cart</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mobile Vital Signs Tower</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Visitor chairs</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Staffing</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Additional positions to accommodate staff to patient ratios</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Human Resource Requirement</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Added Housekeeping personnel for any extra beds that were created.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Specialized positions: Stroke navigator, NP</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Training</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>APEX Hemisphere Seats, web based online learning series</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ongoing Education costs e.g. augmenting Stroke Unit Orientation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>One Time Education</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 hour education or series of 1 hour lunch and learns (need to cover cost of lunch)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Other</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Purchase of DRUG: tPA (Stock in ED and/or ASU. Imaging, Lab Costs, added cost related to nutrition costs associated with extra patient beds.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Communications</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Development of newsletters or cost needed to build internal intranet site regarding the Stroke Unit</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Appendix B: Acute Stroke Unit Admission Criteria Template

Patients will be admitted to the acute stroke unit if they meet the following criteria:

- Patients presenting to the emergency department or at one of the organization referring ites with primary diagnosis of TIA, ischemic or hemorrhagic stroke.

- Patients with questionable primary diagnosis of stroke who present to the emergency department or are on another inpatient may be admitted to the unit after consultation by the stroke unit physician.

- Patients who are admitted to hospital with another diagnosis but develop new onset stroke symptoms may be transferred to the acute stroke unit when stroke is the patient’s primary medical problem and after consultation with the stroke unit physician.

- Patients who initially require admission to the or Step-Down Unit, will be transferred to the stroke unit when medically stable.

* Adapted from Hamilton Health Sciences (2011) Acute Stroke Unit Admission Criteria
Appendix C: Flow Algorithm

* Adapted from Hamilton Health Sciences (2013) Acute Stroke Flow Algorithm

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Appendix D: Rounds Template

Interdisciplinary rounds planning – team worksheet

There are several different models for interdisciplinary rounds. Consider the following:

1. What patients will be seen each round?
   □ All patients on unit
   □ Patients with urgent care issues and newly admitted patients
   □ Patients with urgent care issues and patients nearing discharge
   □ Other: ________________

2. Who will attend?
   □ Patients
   □ Families
   □ Physician
   □ Residents
   □ Nurse
   □ Allied Health (social work)
   □ PT, OT, SLP, discharge planner, dietitian,
   □ Other (i.e.: spiritual care,
   □ navigator, educator,
   □ ____________________

3. Who will lead the discussion about each attribute? What Quality and Safety checks are relevant to our patients?

4. Do we need a team lead? If so, who is the most appropriate person for this role?

5. What time will we host rounds?
   □ AM ____________ □ PM _________

6. How will rounds discussions be documented?

7. How will patients and families be informed about rounds? Who will inform patients and families?

8. How will we accommodate families who can’t physically be present for rounds? (e.g. families from northern or rural areas)

9. How will we identify and address patient’s and family’s individual needs for rounds? (e.g. translators, cultural and spiritual needs, etc.)


As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Appendix E: Sample LHIN – specific, Ontario Stroke Report Card

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Appendix F: Recommended Stroke Unit Quality Indicators

Utilize the table below with Decision Support, Health Records, and/or clinical teams involved in data collection to create reports which will allow monitoring.

It is important to note that among reporting bodies (e.g. CIHI, OSN, MOHLTC/HQO, Accreditation Canada), there are differences and often ongoing refinement to indicator definitions, sample populations, as well as targets and benchmarks. It is important to be aware of these factors prior to comparing stroke unit performance to established benchmarks and targets. As an example, the stroke cohort in the QBQ Clinical Handbook for Stroke excludes strokes occurring as post admission complications (in-hospital strokes) as well as subarachnoid hemorrhages, but these stroke sub-types may be included in other data sets.

An asterisk (*) denotes the indicator is very commonly used by stroke units (acute, integrated, or rehabilitation) in Ontario, according to the results of the Provincial Stroke Unit Survey.
Appendix F: Stroke Unit Quality Indicators

**LEGEND:** A=Acute R=Rehabilitation I=Integrated (Acute+ Rehabilitation)

<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
</table>
| *Proportion of patients treated in a designated Stroke Unit anytime during their inpatient stay* A/R/I | **Numerator:** Number of stroke/TIA patients who spent time on a Stroke Unit  
**Denominator:** Total number of stroke/TIA patients admitted to hospital  
• Calculate n, % | CIHI DAD-Project 340 (A/I)  
Chart Audit (R) | QBP Module 3, Admission to Acute  
Module 4, Admission to Inpatient Rehabilitation  
Facility Baseline Results, Appendix A, Indicator Technical Information  
**Ontario Stroke Report Card**  
Indicator 8 – Proportion of stroke/TIA patients treated on a Stroke Unit at any time during their inpatient stay  
**Benchmark:** 72.3%  
(2014-15) | **Comments**  
Define the type of Stroke Unit model being measured  
CIHI Project 340:  
Documentation that patient was admitted directly to Stroke Unit or was transferred to Stroke Unit after admission regardless of duration of stay on a Stroke Unit  
QBP Definition of a Stroke Unit: A geographical unit with identifiable co-located beds that are occupied by stroke patients 75% of the time and have a dedicated interprofessional team with expertise in stroke care including, at minimum, nursing, physiotherapy, occupational therapy and speech-language pathology  
**Considerations**  
Calculate by stroke subtype |
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
<tbody>
<tr>
<td>*Proportion of patients with Dysphagia Screening in an acute care setting A/I</td>
<td>Numerator: Number of stroke patients with documented dysphagia screen in the ED or inpatient acute care setting Denominator: Total number of stroke patients including the ED and inpatient acute care • Calculate n, % Exclusion Criteria Denominator: • Patients with TIA</td>
<td>CIHI DAD CIHI NACRS Project 640</td>
<td>QBP Module 1, Early Assessment Ontario Stroke Report Card Indicator 9 - Proportion of acute stroke (excluding TIA) patients with a documented initial dysphagia screening performed during admission within 72 hours to acute care Benchmark: 87.5% (2012/13)</td>
<td>Considerations Timing of dysphagia screen: o Calculate mean, median time from patient arrival to dysphagia screen</td>
</tr>
<tr>
<td>Proportion of patients who have AlphaFIM® completed on or before day 3 from acute stroke admission A/I</td>
<td>Numerator: Total number of inpatient stroke admissions with AlphaFIM® assessment completed on or before day 3 of admission documented Denominator: Total number of stroke patients • Calculate n, % Exclusion Criteria Denominator: • Patients with TIA</td>
<td>CIHI DAD-Project 740</td>
<td>QBP Module 3, Admission to Acute Care Module 6, Pre-discharge/Discharge Planning Target: AlphaFIM® completed on or before day 3 of admission</td>
<td>Comments CIHI 740 AlphaFIM® score – Projected FIM 13 Raw Motor Rating and Projected FIM 5 Raw Cognitive Rating were documented</td>
</tr>
</tbody>
</table>

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proportion of patients discharged from hospital with Antithrombotic Therapy A/I</td>
<td>Numerator: Number of ischemic stroke/TIA patients who are discharged from hospital on antithrombotic medication(s)(including patients with contraindications)</td>
<td>CIHI DAD CIHI NACRS Project 340</td>
<td>QBP Module 3, Admission to Acute Care Module 5, Secondary Prevention</td>
<td>Comments</td>
<td>Includes all classes of antithrombotics: antiplatelets or anticoagulants</td>
</tr>
<tr>
<td></td>
<td>Denominator: Total number of ischemic/TIA stroke patients discharged alive</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Calculate n, %</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Exclusion Criteria</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Denominator: Patients who died in hospital Patients with hemorrhagic stroke</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>*Proportion of inpatients that experience at least one Complication A/I</td>
<td>Numerator: Number of stroke/TIA patients that experience at least one confirmed complication while in hospital</td>
<td>CIHI DAD</td>
<td>• Performance Measurement Manual (Canadian Stroke Strategy, 2008) Acute-4.2, i, p. 24</td>
<td>Comments</td>
<td>Complications to consider include: pneumonia, urinary tract infection, venous thromboembolism, skin pressure ulcers, GI bleed, &amp; secondary intracerebral bleed</td>
</tr>
<tr>
<td></td>
<td>Denominator: Total number of stroke/TIA patients admitted to hospital</td>
<td></td>
<td></td>
<td></td>
<td>CIHI-Type II post admit co- morbidity</td>
</tr>
<tr>
<td></td>
<td>• Calculate n, %</td>
<td></td>
<td></td>
<td></td>
<td>Calculate by each complication type</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Calculate by stroke subtype, age, &amp; gender</td>
</tr>
<tr>
<td>Stroke Unit Indicators</td>
<td>Data Definition/Calculation</td>
<td>Data Source</td>
<td>Indicator Source &amp; Benchmark, Target or Threshold</td>
<td>Comments &amp; Considerations</td>
<td></td>
</tr>
<tr>
<td>------------------------</td>
<td>-----------------------------</td>
<td>-------------</td>
<td>--------------------------------------------------</td>
<td>---------------------------</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Complications must be new - not a continuation of a pre-existing co-morbidity or condition Considerations Calculate by each complication type Calculate by stroke subtype, age, &amp; gender</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Indicators Specific to the Rehabilitation Phase of Stroke Care**

| Proportion of patients admitted to Inpatient Rehabilitation with severe stroke (RPG 1100 or 1110) from acute care setting R/I | Numerator: Number of stroke patients with severe disability (RPG 1100 or 1110) in inpatient rehabilitation Denominator: Total number of stroke (RCG-1) patients admitted to inpatient rehabilitation | CIHI NRS | QBP Module 4, Admission to Inpatient Rehabilitation Facility Baseline Results, Appendix A, Indicator Technical Information Ontario Stroke Report Card Indicator 18- Proportion of patients admitted to inpatient rehabilitation with severe stroke Benchmark: 58.7% (2014/15) | Comments For QBP, results are expressed as the proportion of severe stroke admissions in which the patient was admitted to inpatient rehabilitation within 7 days of acute hospital admission. The denominator is the number of admissions in which the patient was admitted to inpatient rehabilitation within 30 days of acute hospital discharge. |

---

*As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.*
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
</table>
| *Mean total Rehabilitation Intensity minutes per day per stroke patient in a rehabilitation setting  
R/I | **Numerator:** Total minutes of therapy provided by PT, OT, SLP, PTA, OTA, and CDA  
Denominator: Active length of stay  
See OSN calculation:  
• Calculation Method  
• Calculate in minutes per day | CIHI NRS | QBP Module 4, Admission to Inpatient Rehabilitation  
**Target:** At least 3 hours of direct task-specific therapy per day by the interprofessional stroke team at least 6 days per week  
**Ontario Stroke Report Card** Indicator 14- Mean number of minutes per day of direct therapy that inpatient stroke rehabilitation patients received | Comments  
Rehabilitation Intensity is the amount of time the patient spends in individual, goal-directed rehabilitation therapy, focused on physical, functional, cognitive, perceptual and social goals to maximize the patient’s recovery, over a seven day/week period. It is time that a patient is engaged in active face-to-face treatment, which is monitored or guided by a therapist |
| *Median FIM Efficiency for all stroke (RCG-1)  
R/I | **Numerator:** SUM (FIM Discharge - FIM admission) for all stroke patients (RCG-1)  
**Denominator:** Length of Stay of stroke patients admitted to inpatient rehabilitation  
Then calculate median FIM efficiency by RCG-1 based on each case. | CIHI NRS | **Ontario Stroke Report Card** Indicator 16- Median FIM* efficiency for moderate stroke in inpatient rehabilitation  
**Benchmark:** 1.5 (2014/15) | **Ontario Stroke Report Card** |

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
## Stroke Unit Indicators

<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proportion of patients with documentation of Patient and Caregiver Education A/R/I</td>
<td><strong>Numerator:</strong> Number of stroke/TIA patients who have documentation in their record of the occurrence of patient/caregiver education&lt;br&gt;&lt;br&gt;<strong>Denominator:</strong> Total number of stroke/TIA patients admitted to hospital&lt;br&gt;&lt;br&gt;Calculate n, %</td>
<td>Chart Audit</td>
<td>QBP Module 3, Admission to Acute Care&lt;br&gt;Module 4, Admission to Rehabilitation</td>
<td></td>
</tr>
</tbody>
</table>

---

**Indicators for Stroke Unit Care (Acute, Integrated, and Rehabilitation)**

| Proportion of patients with documentation of Patient and Caregiver Education A/R/I | **Numerator:** Number of stroke/TIA patients who have documentation in their record of the occurrence of patient/caregiver education<br><br>**Denominator:** Total number of stroke/TIA patients admitted to hospital<br><br>Calculate n, % | Chart Audit | QBP Module 3, Admission to Acute Care<br>Module 4, Admission to Rehabilitation |  |

---

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
</table>
| Proportion of patients with documentation of Depression Screening A/R/I | Numerator: Number of stroke/TIA patients with documentation to indicate screening for depression using valid tool Denominator: Total number of stroke/TIA patients admitted to hospital  
  - Calculate n, %  
  **Exclusion criteria**  
  Denominator: Patients who died in hospital | Chart Audit | QBP Module 3, Admission to Acute Care | Note the standard tool that was used (e.g., PHQ9) |
| Proportion of patients with documentation of Vascular Cognitive Impairment Screening (VCI) A/R/I | Numerator: Number of stroke/TIA patients with documentation to indicate screening for cognitive impairment using valid tool Denominator: Total number of stroke/TIA patients admitted to hospital  
  - Calculate n, %  
  **Exclusion criteria**  
  Denominator: Patients who died in hospital | Chart Audit | QBP Module 3, Admission to Acute Care | Note the standard tool that was used (e.g., MoCA) |

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
</table>
| **Median time from stroke onset to admission to Inpatient Rehabilitation A/R/I** | **Numerator:** Number of days for stroke patients from time of stroke symptom onset until admission date to inpatient rehabilitation **Denominator:** Total number of stroke patients admitted to inpatient rehabilitation  
Then calculate median days based on each case.  
- Calculate in days | CIHI DAD CIHI NRS | QBP Target: Admission to Inpatient Rehabilitation within 7 days of Acute Care Admission  
Facility Baseline Results, Appendix A, Indicator Technical Information | Considerations  
Calculate separately by median length of time from stroke onset to stroke rehabilitation referral, and stroke rehabilitation referral to admission to stroke inpatient rehabilitation |
| **Proportion of patients Admitted to Inpatient Rehabilitation from acute care setting A/I** | **Numerator:** Number of stroke patients admitted to inpatient rehabilitation following discharge from acute care setting **Denominator:** Total number of stroke patients discharged alive  
Calculate n, % | CIHI DAD CIHI NRS | QBP Rehabilitation-Module 4  
Admission to Inpatient Rehabilitation Facility Baseline Results, Appendix A, Indicator Technical Information | Comments  
Not all stroke patients go directly to inpatient rehabilitation; all patients who appear in inpatient rehabilitation within 30 days should be included. |

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
</table>
| Proportion of patients discharged to each Discharge Disposition specific to the setting A/R/I | **Numerator:** Number of stroke/TIA patients discharged to each discharge disposition  
**Denominator:** Total number of stroke/TIA patients discharged alive  
Calculate by n, %  
**Exclusion Criteria:** Denominator Patients who die in hospital | CIHI DAD CIHI NRS | QBP Rehabilitation-Module 4, Admission to Inpatient Rehabilitation Facility Baseline Results, Appendix A, Indicator Technical Information  
**Ontario Stroke Report Card Indicator 12:** Proportion of stroke (excluding TIA) patients discharged from acute care who received a referral for outpatient rehabilitation | In general, patients who qualify for inpatient rehabilitation are those with an early AlphaFIM® score of 40 to 80 (QBP)  
**Considerations:** Calculate by AlphaFIM® Score  
In general, patients who qualify for inpatient rehabilitation are those with an early AlphaFIM® score of 40 to 80 (QBP)  
Patients with an AlphaFIM® score of greater than 80, would typically go to outpatient rehabilitation (QBP) |

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
</table>
| *Median Length of Stay in an inpatient rehabilitation setting by Rehabilitation Patient Group (RPG) | Numerator: Total number days for all stroke patients per RPG admitted to inpatient rehabilitation setting and discharged from time of patient arrival at inpatient rehabilitation setting until hospital discharge (time patient physically left rehabilitation hospital setting)  
Denominator: Total number of stroke patients per RPG discharged from inpatient rehabilitation setting  
- Calculate in days | CIHI NRS | Benchmark: 12.8% (2012/13)  
Indicator 19: Proportion of stroke/TIA patients discharged from acute care to LTC/CCC (excluding patients originating from LTC/CCC)  
Benchmark: 2.5% (2014/15) | Considerations  
Calculate proportion of ALC days to total LOS in rehabilitation  
Calculate LOS by stroke subtype  
Calculate total LOS separating active LOS, service interruptions (e.g., return to acute for short period of times), and ALC days |

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inclusion Criteria:</td>
<td>Numerator: Active Rehabilitation LOS days and ALC days (at least 1 ALC day)</td>
<td>Ontario Stroke Report Card Indicator 15 - Proportion of inpatient stroke rehabilitation patients achieving RPR active LOS target</td>
<td>Benchmark: 80.8% (2014/15)</td>
<td></td>
</tr>
<tr>
<td>Exclusion Criteria:</td>
<td>Numerator: Inpatient days categorized as acute care</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>System-Level Indicators</td>
<td></td>
<td>CIHI DAD CIHI NACRS</td>
<td>QBP Facility Baseline Results, Appendix A, Indicator Technical Information</td>
<td></td>
</tr>
<tr>
<td>In-hospital Mortality Rate (30-day all-cause)</td>
<td>Numerator: Number of stroke/TIA patients who died within 30 days of admission</td>
<td></td>
<td></td>
<td>Mortality rates in the Ontario Stroke Report Card and QBP reports are risk-adjusted &amp; include patients who die not only in hospital but elsewhere within 30 days of acute admission</td>
</tr>
<tr>
<td>A/I</td>
<td>Denominator: Total number of stroke/TIA patients admitted to hospital</td>
<td></td>
<td></td>
<td>Comments:</td>
</tr>
<tr>
<td></td>
<td>Calculate n, %</td>
<td></td>
<td></td>
<td>Mortality rates in the Ontario Stroke Report Card and QBP reports are risk-adjusted &amp; include patients who die not only in hospital but elsewhere within 30 days of acute admission</td>
</tr>
<tr>
<td>Exclusion Criteria</td>
<td>Numerator: Stroke patients who died in hospital more than 30 days after hospital admission</td>
<td></td>
<td></td>
<td>Considerations:</td>
</tr>
<tr>
<td></td>
<td>Denominator: Total number of stroke/TIA patients admitted to hospital</td>
<td></td>
<td></td>
<td>Calculate 7-day mortality</td>
</tr>
<tr>
<td></td>
<td>Calculate n, %</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>QBP Facility Baseline Results, Appendix A, Indicator Technical Information</td>
<td>Calculate by stroke subtype</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Calculate by location (e.g., ED, Stroke Unit)</td>
</tr>
</tbody>
</table>

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
</table>
| Readmission Rate (30 & 90-day all-cause) A/I | **Numerator:** Total number of readmissions to ED (unplanned visits to ED) or inpatient care due to any cause within 30 &/or 90 days of discharge  
**Denominator:** Total number of stroke/TIA patients discharged alive from inpatient acute care  
- Calculate n, %  
**Exclusion Criteria**  
**Denominator:**  
- Elective admissions or transfers  
- Patients who die in hospital | CIHI DAD CIHI NACRS | QBP  
Facility Baseline Results  
Appendix A, Indicator Technical Information  
Risk-adjusted, non-elective 30-day all-cause  
Risk-adjusted, non-elective 90-day all-cause  
Ontario Stroke Report Card  
Indicator 20 – Age and sex adjusted readmission rate at 30 days for patients with stroke/TIA for all diagnoses (per 100 patients) | Comments  
QBP refers to all-cause readmission rate and includes 30 and 90-day readmission rates & 90-day all-cause unplanned visits to ED  
Readmission rates in the QBP and Ontario Stroke Report Card are risk-adjusted  
Considerations  
Calculate if part of stay was in Stroke Unit  
Calculate by stroke sub-type |

### Additional Indicators for Consideration

| Interprofessional Communication A/R/I | How often do team members meet for case review?  
What type of communication is used between patient transitions? | Observation Survey | **Target:** At least one formal interprofessional meeting per week  
**Literature:**  
- Elf, Putilova, Ohm & von Koch (2009)  
- Perry (2005) | Considerations  
Qualitative & Quantitative Evaluation Elements:  
- Regular interactions, Interactive forums, joint discharge planning, electronic record in place, stroke pathway in place, case management |

---

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
<table>
<thead>
<tr>
<th>Stroke Unit Indicators</th>
<th>Data Definition/Calculation</th>
<th>Data Source</th>
<th>Indicator Source &amp; Benchmark, Target or Threshold</th>
<th>Comments &amp; Considerations</th>
</tr>
</thead>
</table>
|                        |                            |             | • Thijs, Peeters, Dewindt, Hemelsoet, De KlippelLaloux et al. (2009)  
|                        |                            |             | • West, Langhorne, Bernhardt (2013)              |                          |
| Use of Best Practice Protocols and Patient Clinical Pathways | Number of Stroke/TIA best practice protocols & pathways in use. | Electronic Record Systems  
Chart Audit | **Literature:**  
Putilova, Ohrn & von Koch (2009)  
Thijs, Peeters, Dewindt, Hemelsoet, De KlippelLaloux et al. (2009).  
West, Langhorne, Bernhardt (2013) | **Considerations**  
Quantitative Evaluation of number of protocols, pathways, order sets in place |
| A/R/I                  |                            |             |                                               |                          |
| Quality Improvement Plans/Projects | Number of QI plans in development or implemented related to improving stroke/TIA patient and system outcomes. | Semi-Structured Interview | **Literature:** Perry (2005) | **Considerations**  
Qualitative Evaluation Elements:  
• CQI programs in place, CQI methods adapted, feedback loops, QA |
| A/R/I                  |                            |             |                                               |                          |
Appendix G – Sample Dashboards

Dashboards are a commonly used tool to gauge performance and progress towards goals that can be designed to monitor specific indicators. Dashboards are often a one-page snapshot of the indicators that were selected to be monitored on a regular basis. Current data is displayed allowing stakeholders to visualize where they are with respect to current performance and to observe and react to trends over time.

Hamilton Health Sciences (HHS) provided examples of the stroke unit dashboard in use at their organization. These pictures are for illustrative purposes only.

HHS Dashboard Example 1

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.
Appendix H - Supplemental Indicators and Evaluation Information

Canadian Stroke Best Practice Recommendations
www.strokebestpractices.ca

A sub-section called Performance Measures is included on the webpage for each section of the Canadian Stroke Best Practice Recommendations. These measures relate specifically to the section’s topic and are more all-inclusive than the indicators listed in this document.

The Canadian Best Practice Core Performance Measurement Manual by organizations or groups as a foundation to develop evaluation frameworks.

Accreditation Canada, Stroke Distinction https://accreditation.ca/stroke-distinction

This program recommends use of a number of core and optional performance indicators. “The program’s rigorous and highly specialized standards are based on the Canadian Best Practice Recommendations for Stroke Care.” (Accreditation Canada, 2016)

As of April 1, 2016, the Ontario Stroke Network (OSN) and Cardiac Care Network of Ontario (CCN) have come together as a single entity to ensure a comprehensive and integrated approach to cardiac, vascular and stroke care in Ontario.